

Testing Database Management Systems via Pivoted Query Synthesis

Manuel Rigger

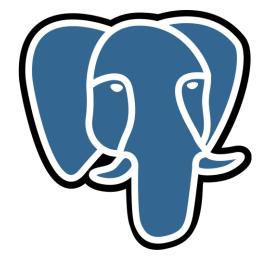
Oct 18., 2019
Workshop on Dependable and Secure Software
Systems 2019





PostgreSQL

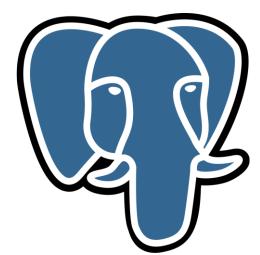






PostgreSQL









Who has **heard about/used** these Database Management Systems?

Databases are Used Ubiquitously



"SQLite is the most used database engine in the world. SQLite is built into all mobile phones and most computers and comes bundled inside countless other applications that people use every day."

https://www.sqlite.org

Relational Data Model

animal_pictures

animal	description	picture
Cat	A cute toast cat	
Dog	Cute dog pic	
Cat	Cat plants (cute!)	



Relational Data Model

animal_pictures animal description picture A cute toast Cat cat Cute dog pic Dog Cat plants Cat (cute!)

A database schema describes the tables (relations) in the database



Relational Data Model

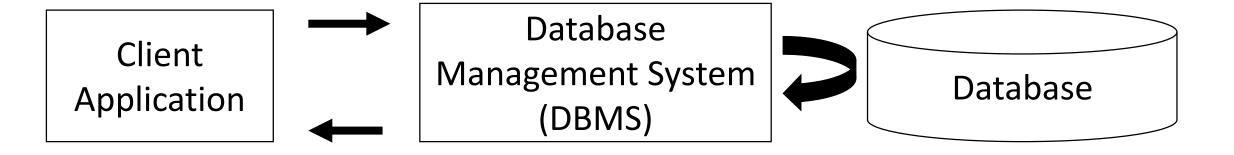
animal_pictures

animal	description	picture
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Structured Query Language (SQL) is a declarative DSL to query and manipulate data

SELECT picture, description
FROM animal_pictures
WHERE animal = 'Cat'
 AND description LIKE '%cute%'

SELECT * FROM
WHERE <cond>



SELECT * FROM
WHERE <cond>

row₁ <cond>
row₂ <cond>
row₃ ¬<cond>

Client Application



—

Database
Management System
(DBMS)



Database

SELECT * FROM
WHERE <cond>

row₁ <cond>
row₂ <cond>
row₃ ¬<cond>

Client Application



Database
Management System
(DBMS)



Database

row₁

<cond>

<cond> ✓

Goal



Aim: Detect logic bugs in DBMS

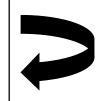
SELECT * FROM
WHERE <cond>

row₁ <cond>
row₂ <cond>
row₃ ¬<cond>

Client Application



Database
Management System
(DBMS)



Database

row₁

<cond>

<cond>

SELECT * FROM WHERE < cond>

<cond> row₁ <cond> row₂ row₃ ¬<cond>

Client **Application**



Database Management System (DBMS)



Database

row₁

<cond>

row₃

¬<cond> 🗶





```
CREATE TABLE t1(c1, c2, c3, c4, PRIMARY KEY (c4, c3));
INSERT INTO t1(c3) VALUES (0), (0), (0), (0), (0), (0),
        (0), (0), (0), (NULL), (1), (0);
UPDATE t1 SET c2 = 0;
INSERT INTO t1(c1) VALUES (0), (0), (NULL), (0), (0);
ANALYZE t1;
UPDATE t1 SET c3 = 1;
SELECT DISTINCT * FROM t1 WHERE t1.c3 = 1;
```

ANALYZE gathers **statistics about tables**, which are then used for query planning

Expected result set

c1	c2	c3	c4
NULL	0	1	NULL
0	NULL	1	NULL
NULL	NULL	1	NULL

Expected result set

c1	c2	c3	c4
NULL	0	1	NULL
0	NULL	1	NULL
NULL	NULL	1	NULL

Actual result set

c1	c2	c3	c4
NULL	0	1	NULL

Expected result set

c1	c2	c3	c4
NULL	0	1	NULL
0	NULL	1	NULL
NULL	NULL	1	NULL

Actual result set

c1	c2	c3	c4
NULL	0	1	NULL

A bug in the skip-scan optimization caused this logic bug

Challenges

• DBMS are tested well

SQLite (~150,000 LOC) has **662 times** as much test code as source code





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SQLite's test cases achieve **100%** branch test coverage





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Small. Fast. Reliable. Choose any three.

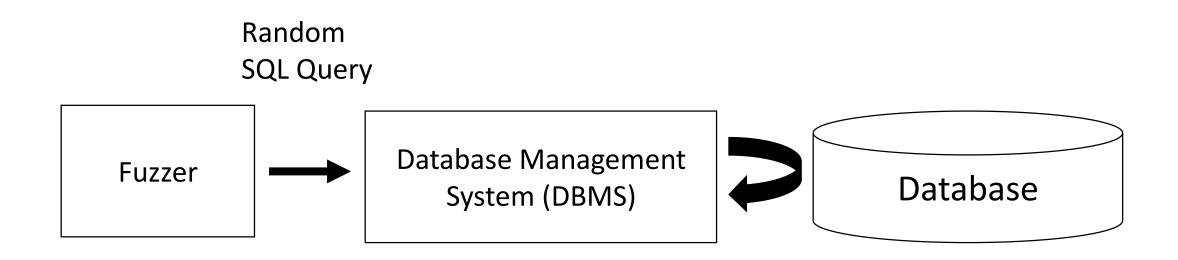




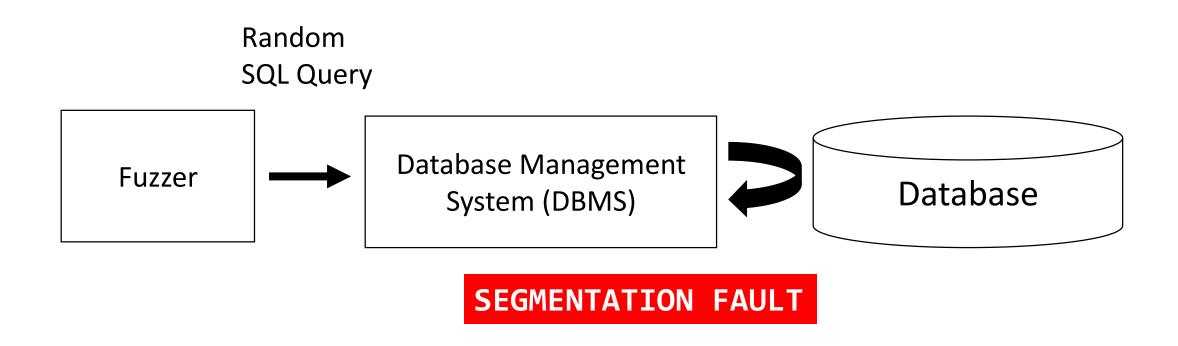
Challenges

- DBMS are tested well
- Fuzzers are ineffective in finding logic bugs

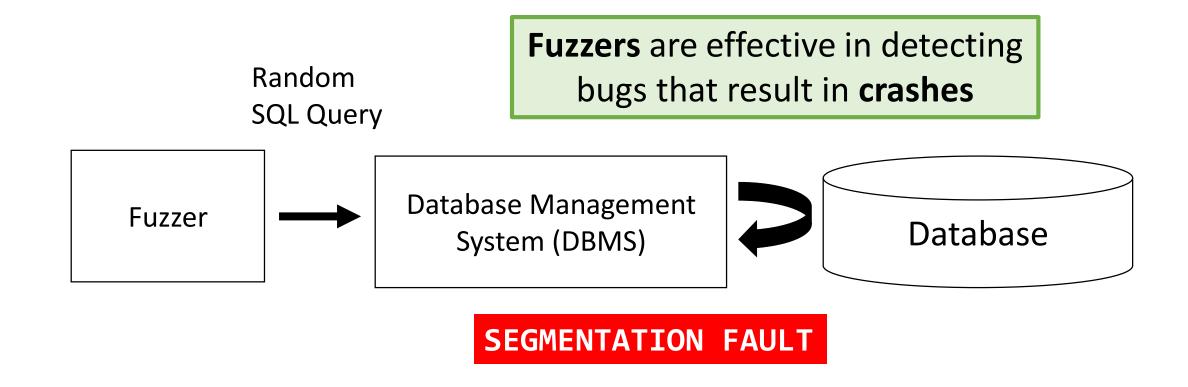
Existing Work: Fuzzers and Query Generators



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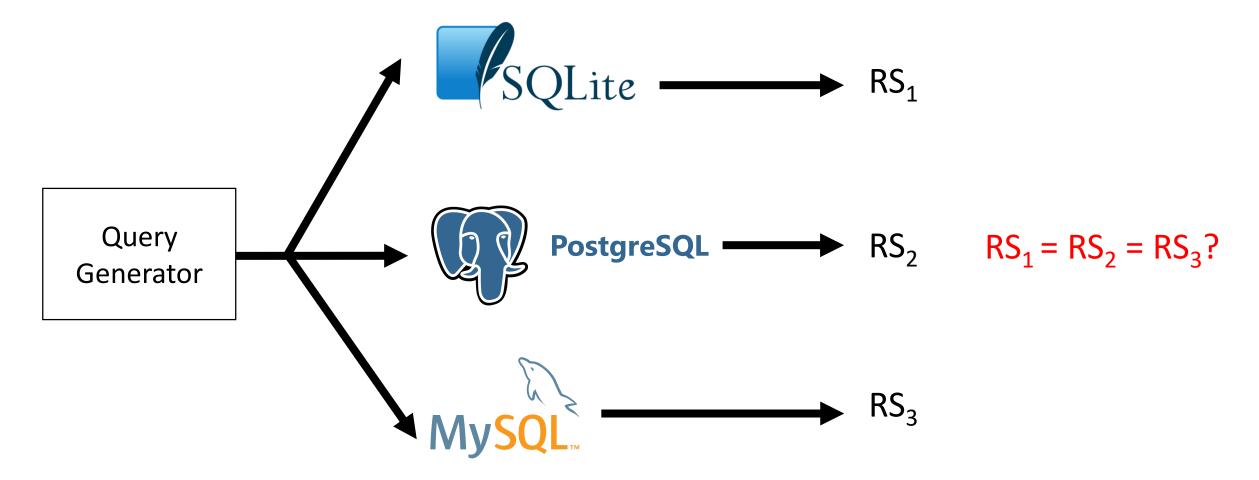
Existing Work: Fuzzers and Query Generators



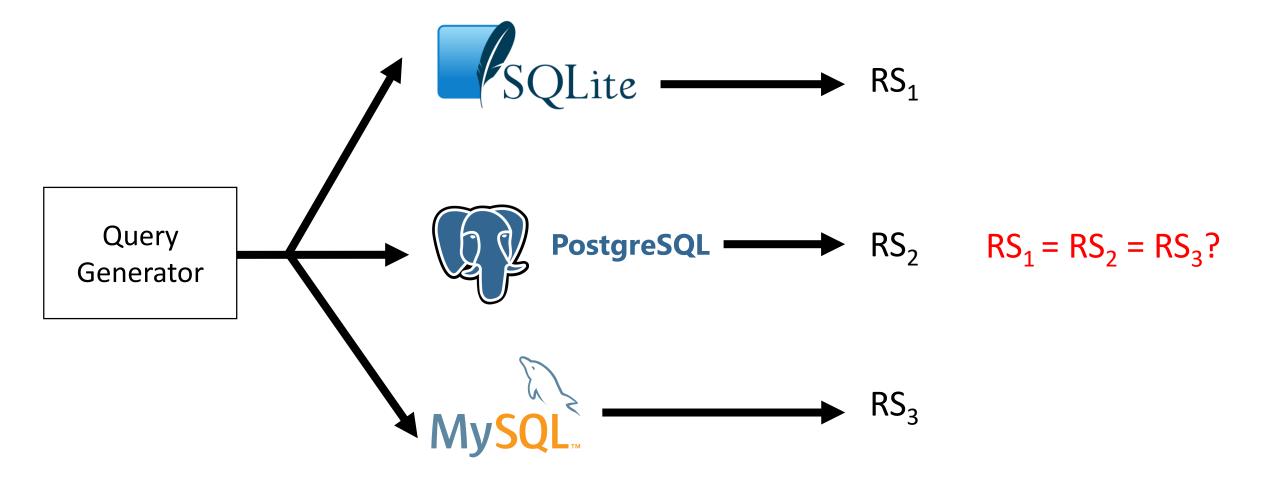
Challenges

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- Fuzzers are ineffective in finding logic bugs
- Knowing the precise result set for a query is difficult

Differential Testing

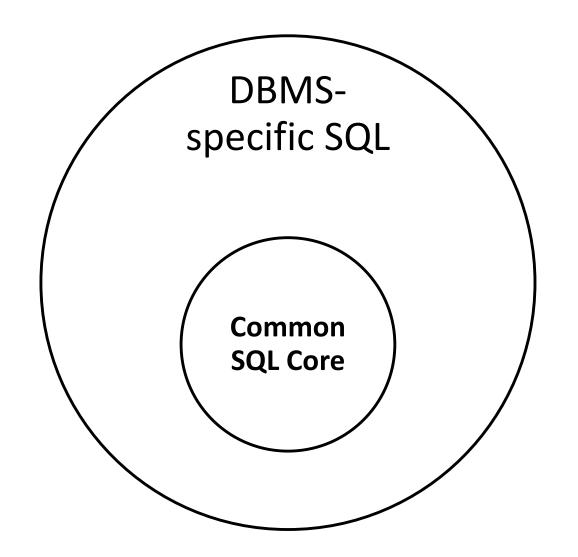


Differential Testing



Differential testing applies only when systems implement the **same language**

Problem: Differential Testing



Problem: The common SQL

core is small

Differential Testing: RAGS (Slutz 1998)

"[Differential testing] proved to be extremely useful, but only for the **small set of common SQL**"

Massive Stochastic Testing of SQL

Don Slutz Microsoft Research dslutz@Microsoft.com

Abstract

Deterministic testing of SQL database systems is human intensive and cannot adequately cover the SQL input domain. A system (RAGS), was built to stochastically generate valid SQL statements 1 million times faster than a human and execute them.

1 Testing SQL is Hard

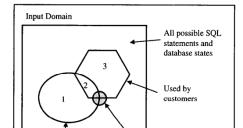
Good test coverage for commercial SQL database systems is very hard. The *input domain*, all SQL statements, from any number of users, combined with all states of the database, is gigantic. It is also difficult to verify output for positive tests because the semantics of SQL are complicated.

Software engineering technology exists to predictably improve quality ([Bei90] for example). The techniques involve a software development process including unit tests and final system validation tests (to verify the absence of bugs). This process requires a substantial investment so commercial SQL vendors with tight schedules tend to use a more ad hoc processing the statement of the statement

distribute the SQL statements in useful regions of the input domain. If the distribution is adequate, stochastic testing has the advantage that the quality of the tests improves as the test size increases [TFW93].

A system called RAGS (Random Generation of SQL) was built to explore automated testing. RAGS is currently used by the Microsoft SQL Server [MSS98] testing group. This paper describes RAGS and some illustrative test results.

Figure 1 illustrates the test coverage problem. Customers use the hexagon, bugs are in the oval, and the test libraries cover the shaded circle.



Constraint Solving (Khalek et al. 2010)

Idea: Use a **solver** to generate queries, generate data, and provide a test oracle

Could reproduce already reported bugs, injected bugs, but only one (potentially) new bug

Automated SQL Query Generation for Systematic Testing of Database Engines

Shadi Abdul Khalek Department of Electrical and Computer Engineering The University of Texas at Austin Austin TX, USA shadi@mail.utexas.edu

ABSTRACT

We present a novel approach for generating syntactically and semantically correct SQL queries for testing relational database systems. We leverage the SAT-based Alloy tool-set to reduce the problem of generating valid

proach translates SQL q enable it to generate vali erated using convention;

Given a database sche previous work on ADU

cally and semantically valid SQL queries for testing, (2) input data to populate test databases, and (3) expected result of executing the given query on the generated data.

Experimental results show that not only can we automatically generate valid queries which detect bugs in database engines, but also we are able to combine this work with our previous work on ADUSA to automatically generate input queries and tables as well as expected query execution outputs to enable automated testing of database engines.

Categories and Subject Descriptors

Query Generation

Sarfraz Khurshid Department of Electrical and Computer Engineering The University of Texas at Austin Austin TX, USA khurshid@ece.utexas.edu

inputs, such as database management systems (DBMSs) or compilers, is particularly expensive. Automation can significantly reduce the cost of testing as well as enable systematic testing, which can

significantly increase the effectiveness of testing. This paper presents a novel SAT-based approach to automate

t databases using the DBMS.

Previous work has addressed each of these three steps but largely in isolation of the other steps [7,8]. While a brute-force combination of existing approaches to automate DBMS testing is possible in principle, the resulting framework is unlikely to be practical: it will generate a prohibitively large number of test cases, which have a high percentage of tests that are redundant or invalid, and hence represent a significant amount of wasted effort. Some approaches, such as [6], target generating queries with cardinality constraints. Integrating query generators with data generators, however, is still either specialized [8], or sometimes not possible [6]. Several academic and commercial tools target the problem of test database generation [9, 10, 12]. Nevertheless, they do not support query generation nor test gracle generation. Recent work in query aware

Query-aware Test Generation Using a Relational Constraint Solver

Shadi Abdul Khalek Bassem Elkarablieh Yai O. Laleye Sarfraz Khurshid The University of Texas at Austin {sabdulkhalek, elkarabl, lalaye, khurshid}@ece.utexas.edu

Abstract

We present a novel approach for black-box testing of database management systems (DBMS) using the Alloy tool-set. Given a database schema and an SOL auerv as

inputs, our a inputs, and 1 input data to result of exe The Alloy A (input/oracle query proces

Database and Test Oracle Generation

By incorp the analysis, our approach performs query-aware data generation where executing the query on the generated data produces meaningful non-empty results.

We developed a prototype tool, ADUSA, and used it to evaluate our approach. Experimental results show the ability of our approach to detect bugs in both open-source as well as commercial database management systems.

Several approaches exist for automatic query generation. For example, RAGS [21] and QGen [20] stochastically combine SQL statements to generate valid queries. These tools enable generating thousands of SQL queries in a few seconds. A more recent approach [5] targets

> ntegrating r, is still the prob-2]. Given izes, and statistical

given schema and constraints. Such generation methods, however, are query unaware, i.e., they don't take the queries (which usually relate to the components under test) into consideration while generating the data, and thus, executing these queries might not return meaningful results. Recent approaches introduced query-aware database generation [2], [3]. These approaches use the information from the queries to constrain the data generator to gener-



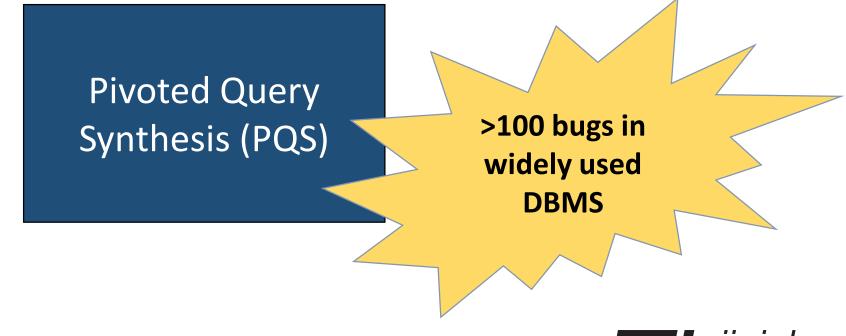
Challenges

- DBMS are tested well
- Fuzzers are ineffective in finding logic bugs
- Knowing the precise result set for a query is difficult

The problem of automatically testing DBMS has not yet been well addressed

Approach: Pivoted Query Synthesis

Pivoted Query Synthesis is an automatic testing approach that can be used to effectively test DBMS



Idea: PQS

Idea: Construct an automatic testing approach considering only a single row

Column ₀	Column ₁	Column ₂
•••	•••	•••
Value _{i,0}	Value _{i,1}	Value _{i,2}
	•••	•••

Pivot Row

• Simpler conceptually and implementation-wise

Column ₀	Column ₁	Column ₂
•••	•••	•••
Value _{i,0}	Value _{i,1}	Value _{i,2}

SELECT * FROM WHERE <cond>

<cond>?

- Simpler conceptually and implementation-wise
- Same effectiveness as checking all rows

Column ₀	Column ₁	Column ₂
	•••	•••
Value _{i,0}	Value _{i,1}	Value _{i,2}

SELECT * FROM
WHERE <cond>



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Column _o	Column ₁	Column ₂
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Value _{i,0}	Value _{i,1}	Value _{i,2}
•••	•••	•••

SELECT * FROM
WHERE <cond>

- Simpler conceptually and implementation-wise
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- Simpler conceptually and implementation-wise
- Same effectiveness as checking all rows
- Precise oracle for a single row

Database Generation

Randomly Generate Database

animal	description	picture
Cat	A cute toast cat	
Dog	Cute dog pic	
Cat	Cat plants (cute!)	

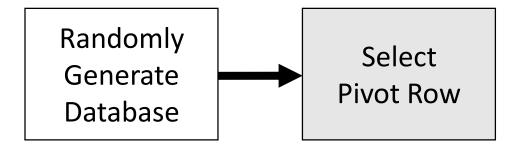
Database Generation

Randomly Generate Database

To explore "all possible database states" we randomly create databases

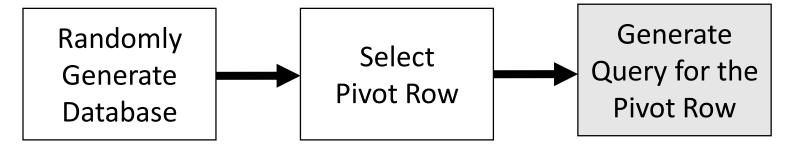
animal	description	picture
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Pivot Row Selection



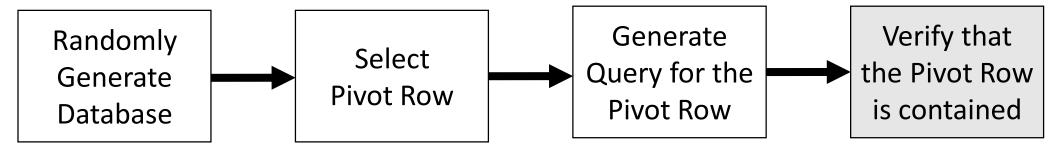
animal	description	picture
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Query Generation



SELECT picture, description
FROM animal_pictures
WHERE animal = 'Cat'
 AND description LIKE '%cute%'

animal	description	picture
Cat	Cat plants (cute!)	



SELECT picture, description
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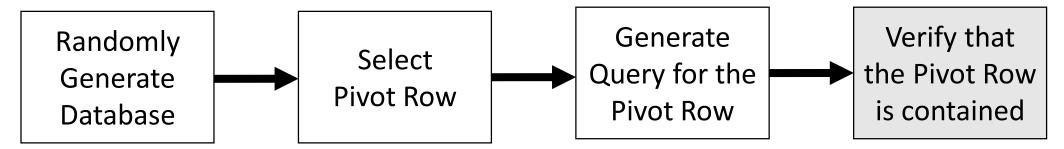
DBMS

result set

	animal	description	picture
•	Cat	A cute toast cat	
	Cat	Cat plants (cute!)	

pivot row

animal	description	picture
Cat	Cat plants (cute!)	



DBMS

SELECT picture, description
FROM animal_pictures
WHERE animal = 'Cat'

AND description LIKE '%cute%'

result set

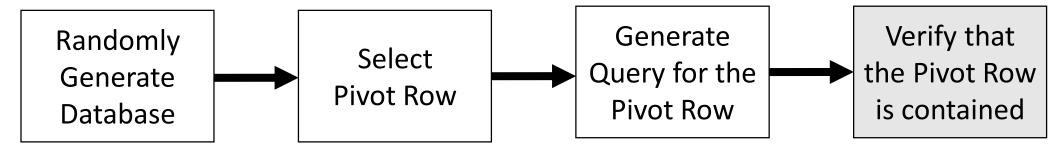
	animal	description	picture
•	Cat	A cute toast cat	
	Cat	Cat plants (cute!)	

pivot row

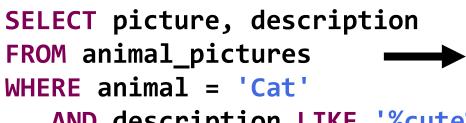
animal	description	picture
Cat	Cat plants (cute!)	

pivot row ∈ result set





DBMS



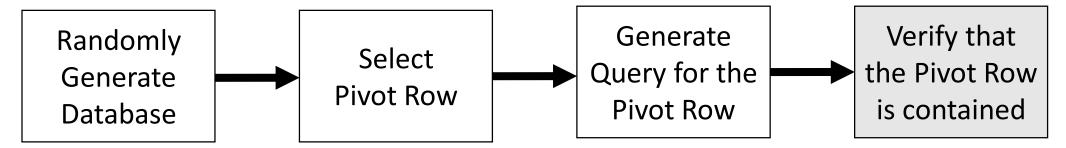
AND description LIKE '%cute%'

pivot row

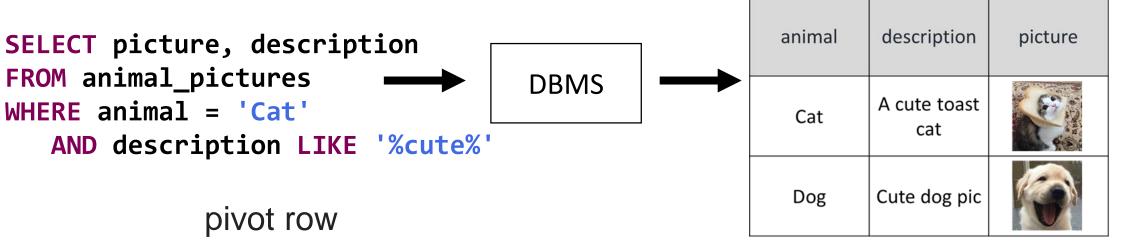
animal	description	picture
Cat	Cat plants (cute!)	

result set

animal	description	picture
Cat	A cute toast cat	
Dog	Cute dog pic	



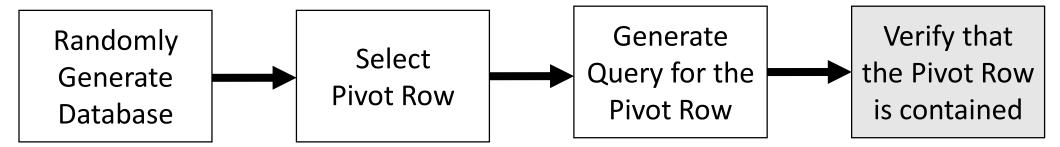
result set

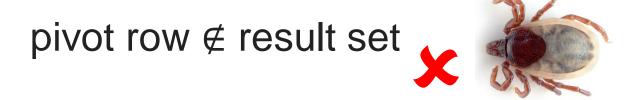


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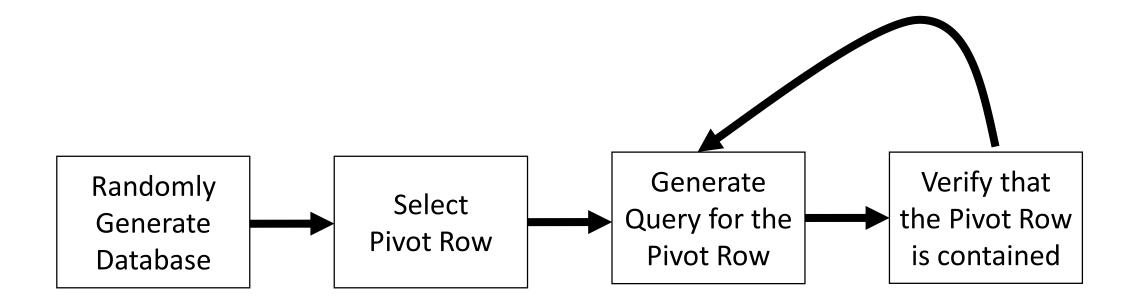
pivot row ∉ result set

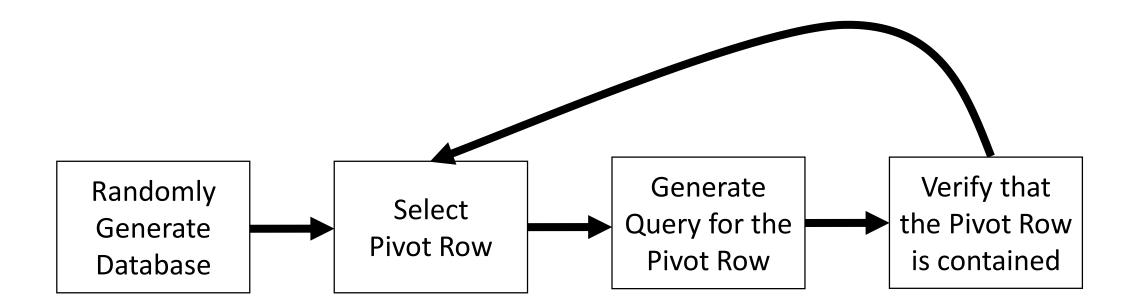


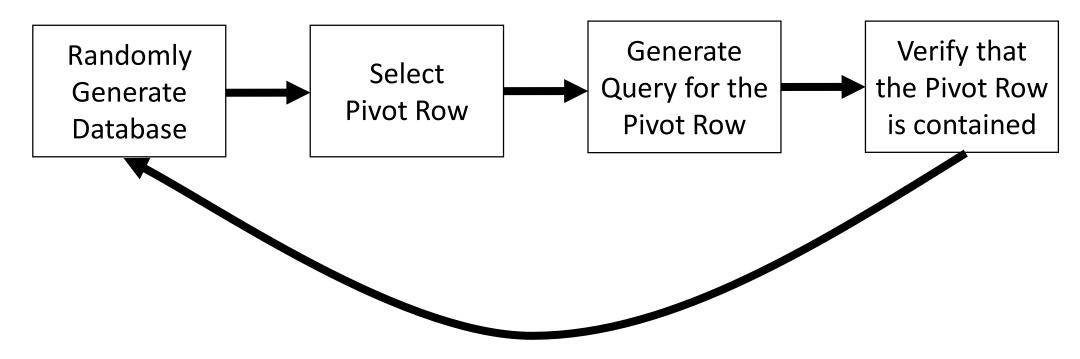




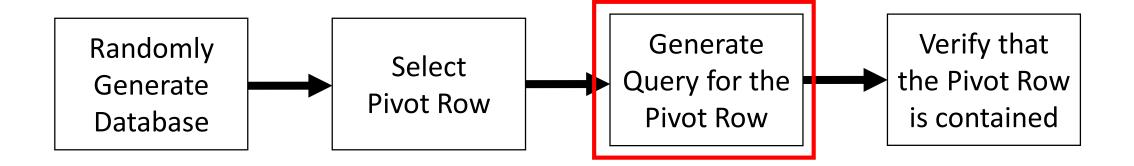
The "containment oracle" is PQS' primary oracle







How do we generate this query?

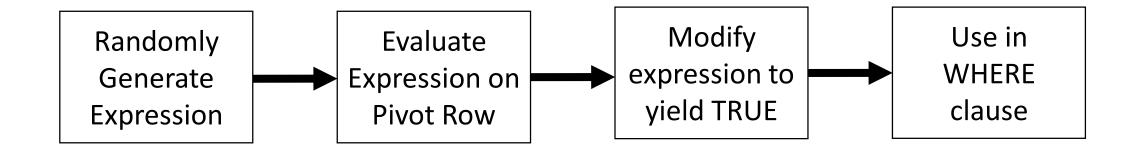


How do we Generate Queries?

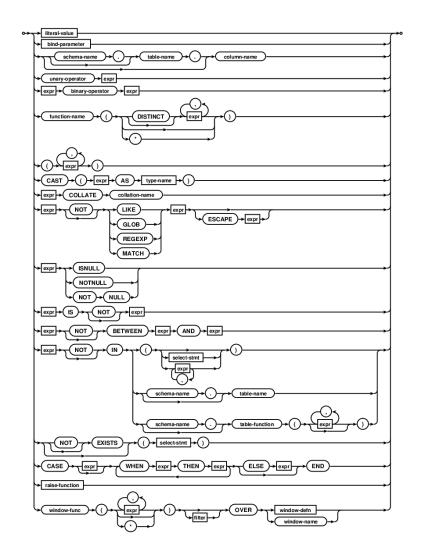
```
SELECT picture, description
FROM animal_pictures
WHERE
```

Generate an **expression** that **yields TRUE** for the pivot row

How do we Generate Queries?



Random Expression Generation



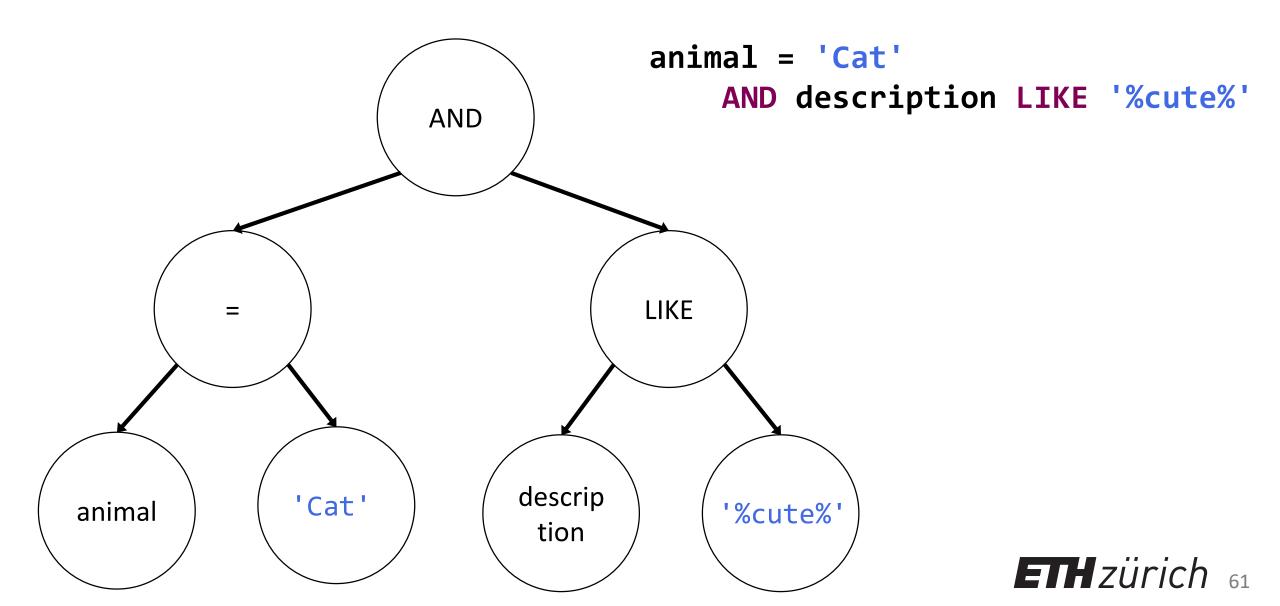




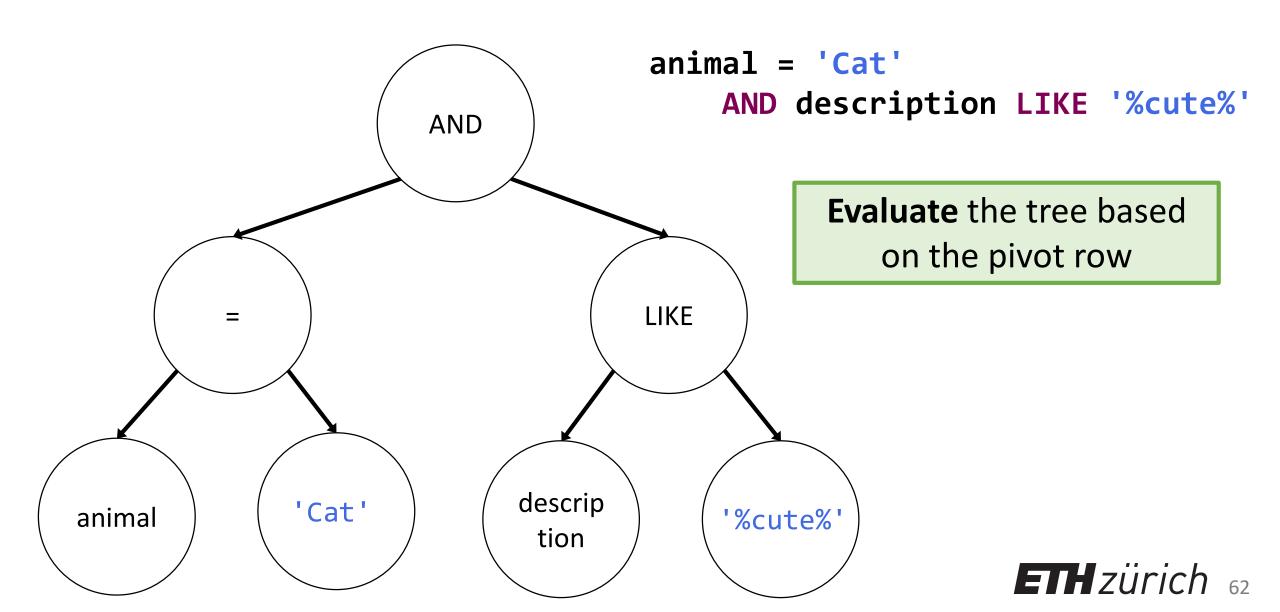
We first generate a random expression

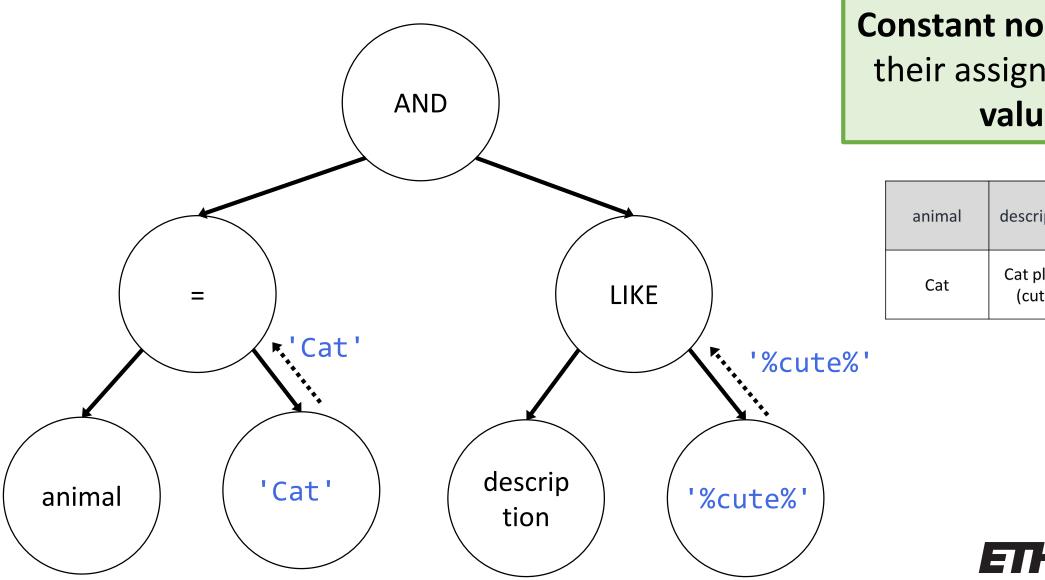


Random Expression Generation

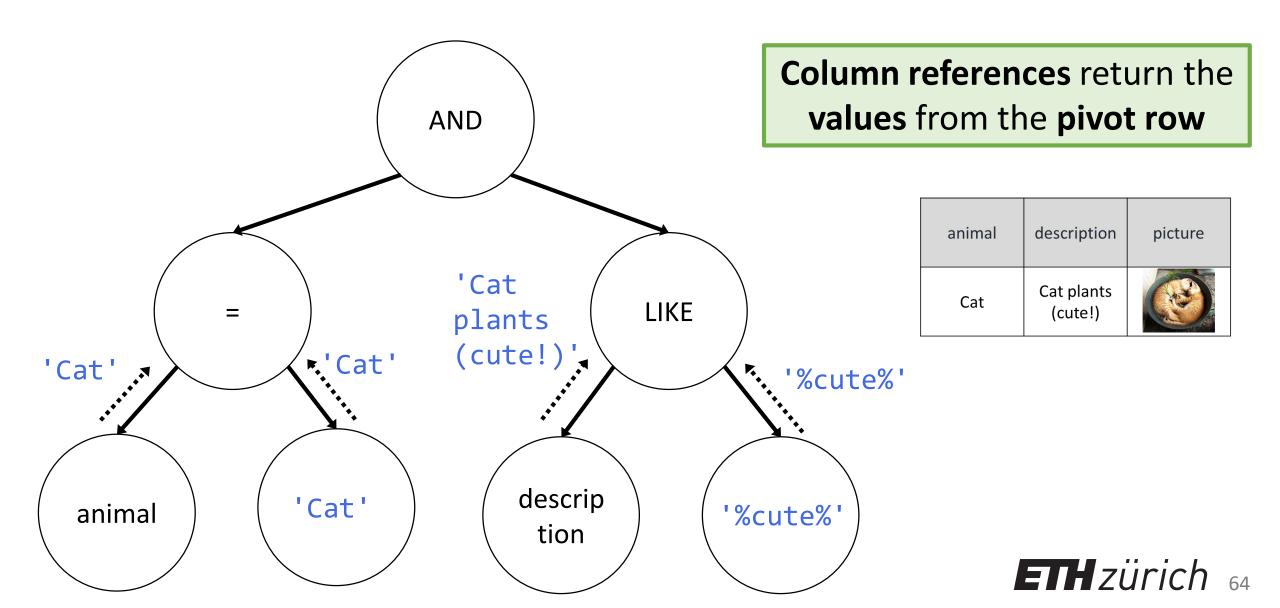


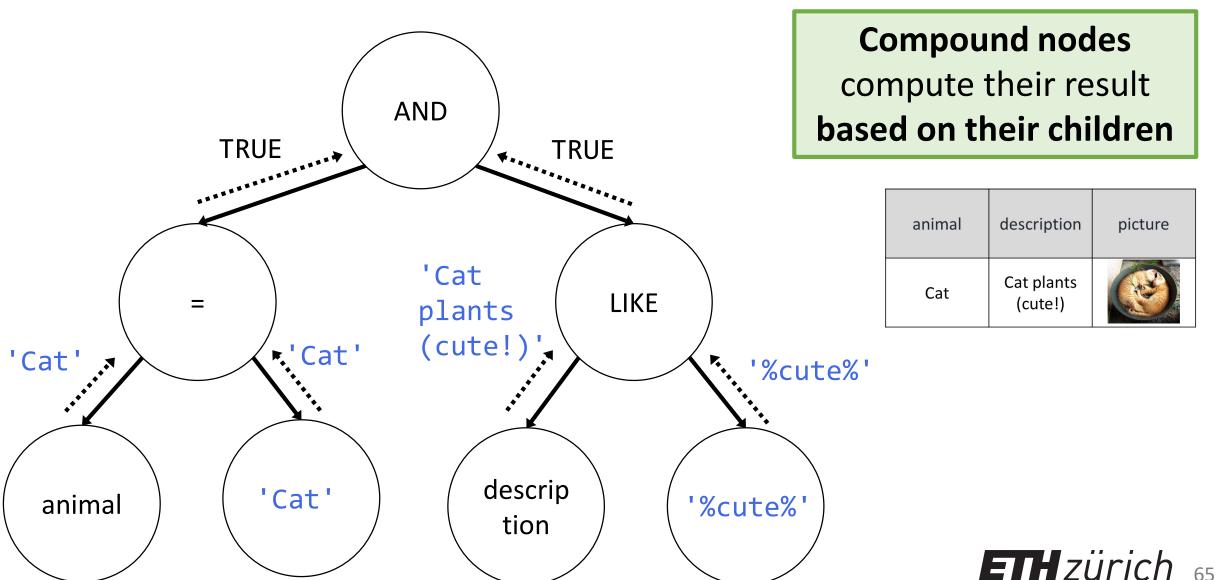
Random Expression Generation

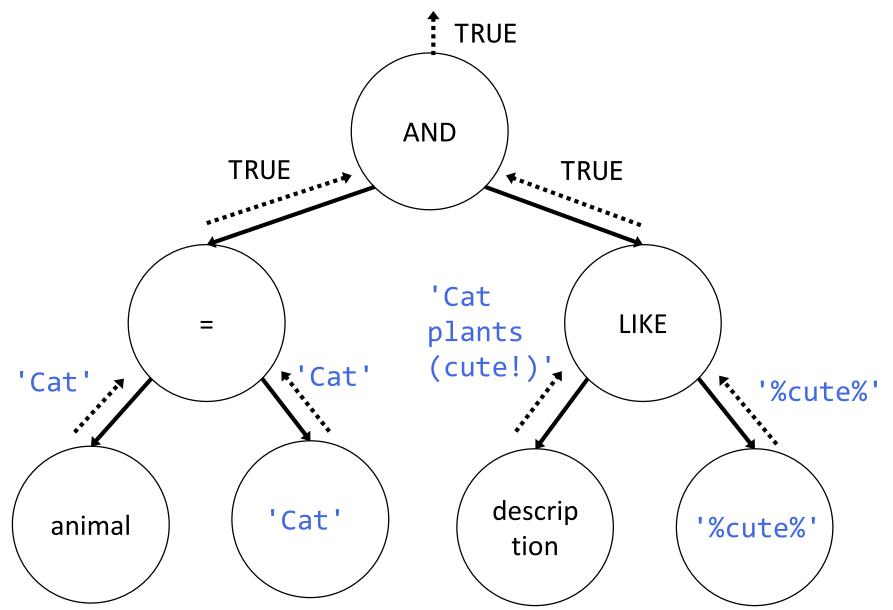




animal	description	picture
Cat	Cat plants (cute!)	



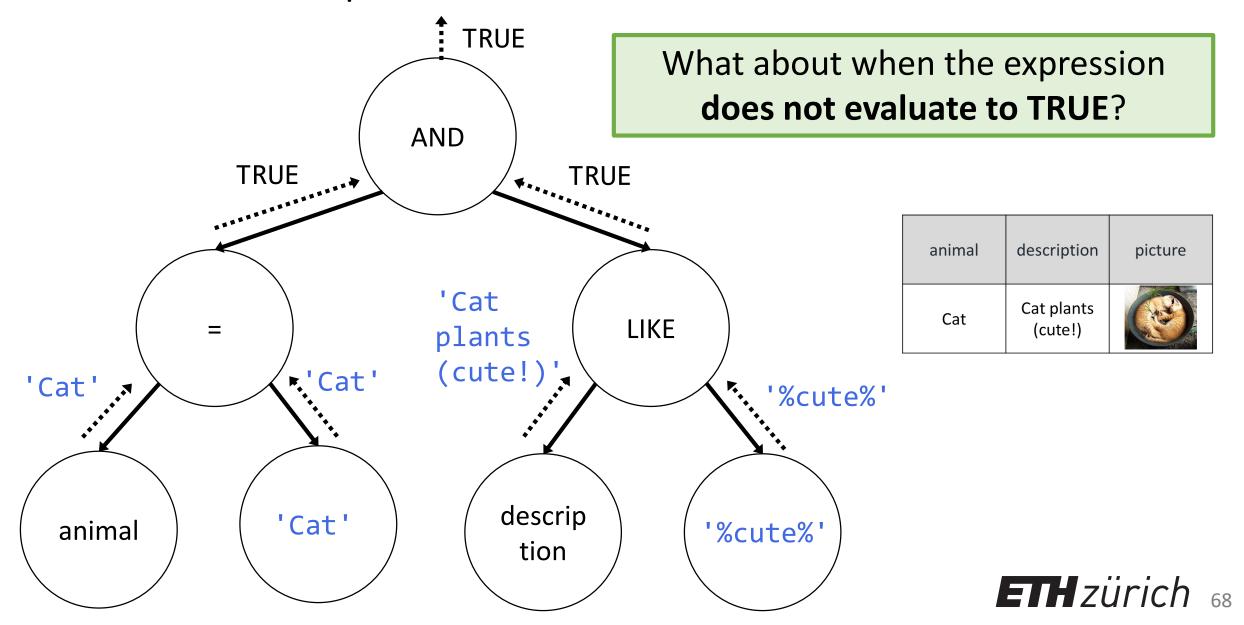


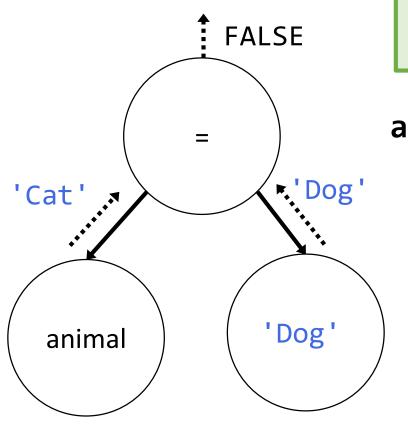


animal	description	picture
Cat	Cat plants (cute!)	

Query Synthesis

```
SELECT picture, description
FROM animal_pictures
WHERE animal = 'Cat' AND description LIKE '%cute%'
```





What about when the expression does not evaluate to TRUE?

animal = 'Dog'

animal	description	picture
Cat	Cat plants (cute!)	

Random Expression Rectification

```
switch (result) {
    case TRUE:
        result = randexpr;
    case FALSE:
        result = NOT randexpr;
    case NULL:
        result = randexpr ISNULL;
```

Random Expression Rectification

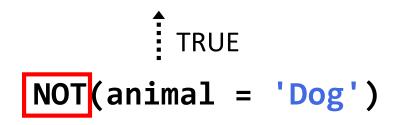
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animal	description	picture
Cat	Cat plants (cute!)	

How do we Generate Queries?

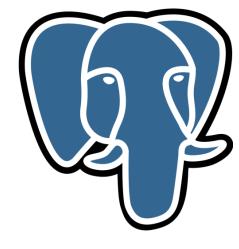
```
SELECT picture, description
FROM animal_pictures
WHERE NOT(animal = 'Dog')
```

animal	description	picture
Cat	Cat plants (cute!)	

Evaluation

Tested DBMS

PostgreSQL

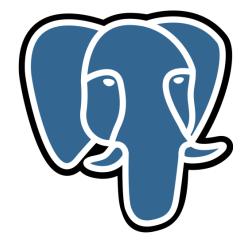






Tested DBMS

PostgreSQL



We tested these (and other DBMS) in a period of 3-4 months





DBMS

	Popularity Rank				
DBMS	DB-Engines	Stack	LOC	First	Age
		Overflow		Release	
SQLite	11	4	0.3M	2000	19 years
MySQL	2	1	3.8M	1995	24 years
PostgreSQL	4	2	1.4M	1996	23 years

DBMS

	Popularity Rank				
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Real Bugs

DBMS	Fixed	Verified
SQLite	65	0
MySQL	15	10
PostgreSQL	5	4
Sum	85	14

Real Bugs

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SQLite	65	0
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Sum	85	14

99 real bugs: addressed by code or documentation fixes, or verified as bugs

Real Bugs

DBMS	Fixed	Verified
SQLite	65	0
MySQL	15	10
PostgreSQL	5	4
Sum	85	14

The SQLite developers **quickly responded** to all our bug reports \rightarrow we focused on this DBMS

Real Bugs

DBMS	Fixed	Verified
SQLite	65	0
MySQL	15	10
PostgreSQL	5	4
Sum	85	14

All MySQL bug reports were verified quickly

Real Bugs

DBMS	Fixed	Verified
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MySQL's trunk is **not available**, and it has a long release cycle

Real Bugs

DBMS	Fixed	Verified
SQLite	65	0
MySQL	15	10
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Sum	85	14

We found the **fewest bugs in PostgreSQL** and not all could be easily addressed

Oracles

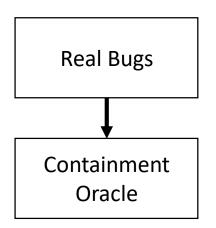
Real Bugs

DBMS	Containment	Error	SEGFAULT
SQLite	46	17	2
MySQL	14	10	1
PostgreSQL	1	7	1
Sum	61	34	4

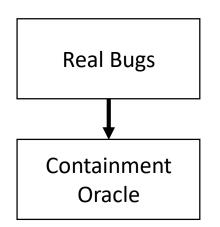
Oracles

DBMS	Containment	Error	SEGFAULT
SQLite	46	17	2
MySQL	14	10	1
PostgreSQL	1	7	1
Sum	61	34	4

Our Containment oracle allowed us to detect most errors



```
CREATE TABLE t0(c1 TEXT PRIMARY KEY) WITHOUT ROWID;
CREATE INDEX i0 ON t0(c1 COLLATE NOCASE);
INSERT INTO t0(c1) VALUES ('A');
INSERT INTO t0(c1) VALUES ('a');
```



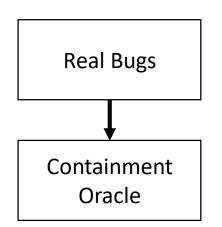
```
CREATE TABLE t0(c1 TEXT PRIMARY KEY) WITHOUT ROWID;

CREATE INDEX i0 ON t0(c1 COLLATE NOCASE);

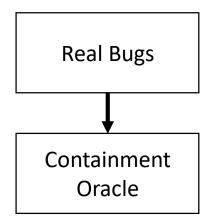
INSERT INTO t0(c1) VALUES ('A');

INSERT INTO t0(c1) VALUES ('a');
```

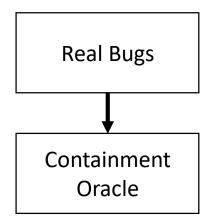
An index is an auxiliary data structure that **should not** affect the query's result



```
CREATE TABLE t0(c1 TEXT PRIMARY KEY) WITHOUT ROWID;
CREATE INDEX i0 ON t0(c1 COLLATE NOCASE);
INSERT INTO t0(c1) VALUES ('A');
                                                c1
INSERT INTO t0(c1) VALUES ('a');
```



```
CREATE TABLE t0(c1 TEXT PRIMARY KEY) WITHOUT ROWID;
 CREATE INDEX i0 ON t0(c1 COLLATE NOCASE);
 INSERT INTO t0(c1) VALUES ('A');
                                                  c1
 INSERT INTO t0(c1) VALUES ('a');
                                                  'a'
SELECT * FROM t0;
```



```
CREATE TABLE t0(c1 TEXT PRIMARY KEY) WITHOUT ROWID;

CREATE INDEX i0 ON t0(c1 COLLATE NOCASE);

INSERT INTO t0(c1) VALUES ('A');

INSERT INTO t0(c1) VALUES ('a');

'a'

'a'
```

Real Bugs

Containment
Oracle

SQLite failed to fetch 'a'!

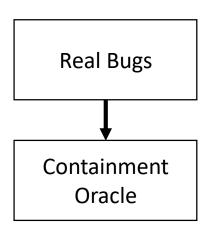
SELECT * FROM t0;



t0



```
CREATE TABLE t0(c0 INT PRIMARY KEY, c1 INT);
CREATE TABLE t1(c0 INT) INHERITS (t0);
```

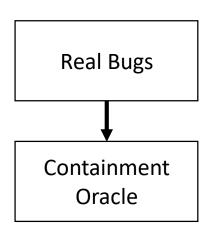


t1

t0

c0	c1
0	0

```
CREATE TABLE t0(c0 INT PRIMARY KEY, c1 INT);
CREATE TABLE t1(c0 INT) INHERITS (t0);
INSERT INTO t0(c0, c1) VALUES(0, 0);
```

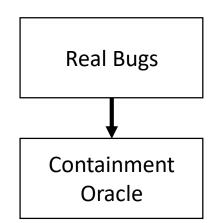


|--|

t0

c0	c1
0	0
0	1

```
CREATE TABLE t0(c0 INT PRIMARY KEY, c1 INT);
CREATE TABLE t1(c0 INT) INHERITS (t0);
INSERT INTO t0(c0, c1) VALUES(0, 0);
INSERT INTO t1(c0, c1) VALUES(0, 1);
```

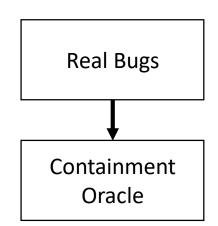


c0	c1
0	1

t0

c0	c1
0	0
0	1

```
CREATE TABLE t0(c0 INT PRIMARY KEY, c1 INT);
CREATE TABLE t1(c0 INT) INHERITS (t0);
INSERT INTO t0(c0, c1) VALUES(0, 0);
INSERT INTO t1(c0, c1) VALUES(0, 1);
```



t1

c0	c1
0	1

The inheritance relationship causes the row to be **inserted both in t0 and t1**

t0

c0	c1
0	0
0	1

```
CREATE TABLE t0(c0 INT PRIMARY KEY, c1 INT);
CREATE TABLE t1(c0 INT) INHERITS (t0);
INSERT INTO t0(c0, c1) VALUES(0, 0);
INSERT INTO t1(c0, c1) VALUES(0, 1);
```

Real Bugs Containment Oracle

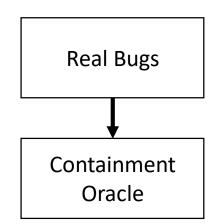
c0	c1
0	1

c0 SELECT c0, c1 FROM t0 GROUP BY c0, c1;

t0

c0	c1
0	0
0	1

```
CREATE TABLE t0(c0 INT PRIMARY KEY, c1 INT);
CREATE TABLE t1(c0 INT) INHERITS (t0);
INSERT INTO t0(c0, c1) VALUES(0, 0);
INSERT INTO t1(c0, c1) VALUES(0, 1);
```



t1

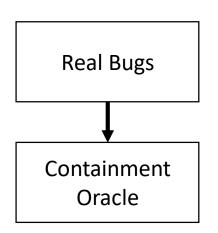
c0	c1
0	1



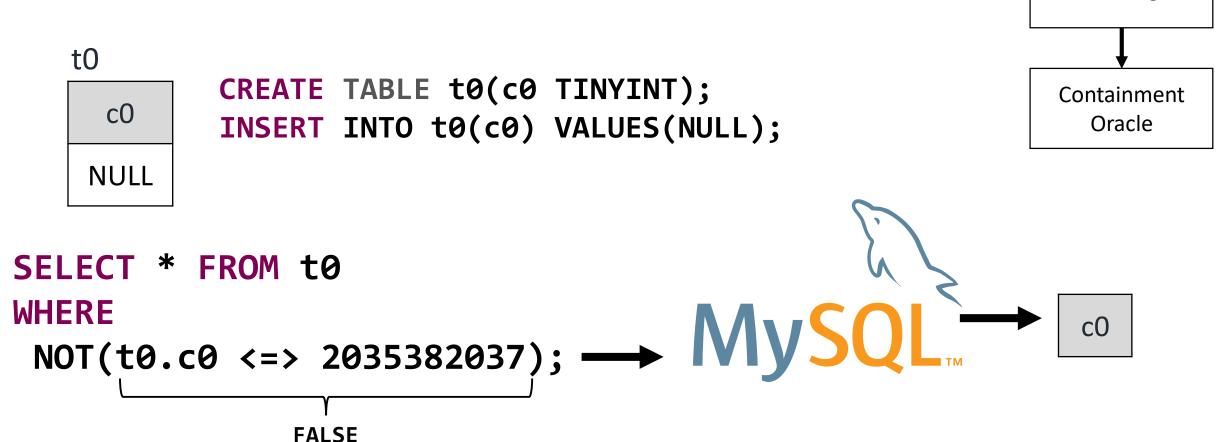
PostgreSQL failed to fetch the row 0 | 1

Result: Bug in MySQL

t0 CREATE TABLE t0(c0 TINYINT); c0 INSERT INTO t0(c0) VALUES(NULL); **NULL**



Result: Bug in MySQL

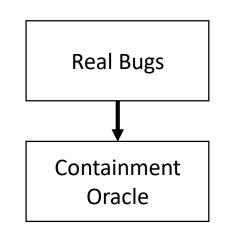


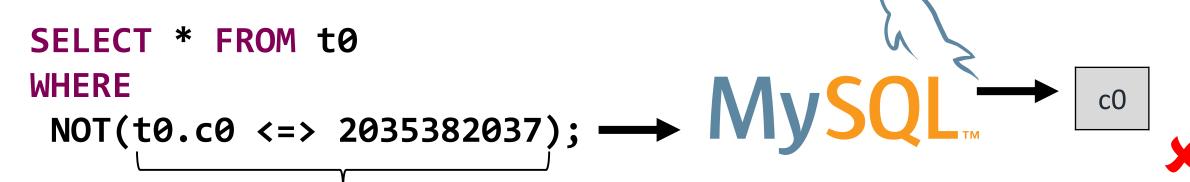
Real Bugs

Result: Bug in MySQL

FALSE

```
CREATE TABLE t0(c0 TINYINT);
INSERT INTO t0(c0) VALUES(NULL);
NULL
```



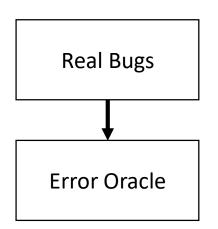


The MySQL-specific equality operator <=> malfunctioned for large numbers

Oracles

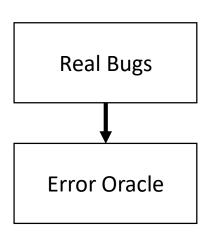
DBMS	Containment	Error	SEGFAULT
SQLite	46	17	2
MySQL	14	10	1
PostgreSQL	1	7	1
Sum	61	34	4

We also found many bugs using an *Error* oracle



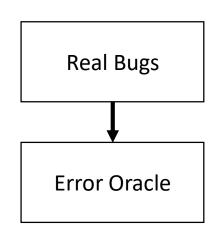
SQLite3 Bug

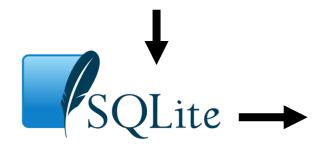
```
CREATE TABLE t1 (c0, c1 REAL PRIMARY KEY);
INSERT INTO t1(c0, c1) VALUES
(TRUE, 9223372036854775807), (TRUE, 0);
UPDATE t1 SET c0 = NULL;
UPDATE OR REPLACE t1 SET c1 = 1;
SELECT DISTINCT * FROM t1 WHERE (t1.c0 IS NULL);
```



SQLite3 Bug

```
CREATE TABLE t1 (c0, c1 REAL PRIMARY KEY);
INSERT INTO t1(c0, c1) VALUES
(TRUE, 9223372036854775807), (TRUE, 0);
UPDATE t1 SET c0 = NULL;
UPDATE OR REPLACE t1 SET c1 = 1;
SELECT DISTINCT * FROM t1 WHERE (t1.c0 IS NULL);
```

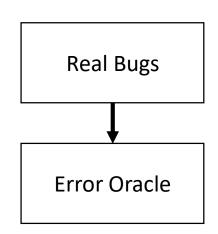




Database disk image is malformed

SQLite3 Bug

```
CREATE TABLE t1 (c0, c1 REAL PRIMARY KEY);
INSERT INTO t1(c0, c1) VALUES
(TRUE, 9223372036854775807), (TRUE, 0);
UPDATE t1 SET c0 = NULL;
UPDATE OR REPLACE t1 SET c1 = 1;
SELECT DISTINCT * FROM t1 WHERE (t1.c0 IS NULL);
```





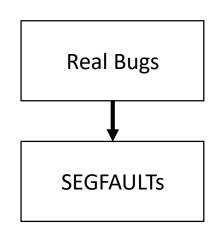
Database disk image is malformed

The INSERT and UPDATE statements corrupted the database

Oracles

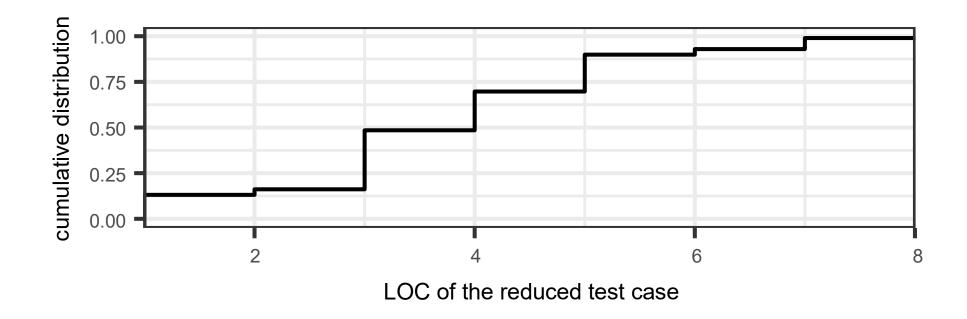
DBMS	Containment	Error	SEGFAULT
SQLite	46	17	2
MySQL	14	10	1
PostgreSQL	1	7	1
Sum	61	34	4

We found only a low number of crash bugs, likely because DBMS are fuzzed extensively



Average Number of Statements

Real Bugs



Half of all bugs can be **reproduced** with **only 4 SQL statements**

SQLite3 Bug with a Single Statement

Real Bugs

SELECT '' - 28514277345821969**7**0; **■**



Subtracting a large integer from a string resulted in an **incorrect result**

Are the bugs relevant?

Are the bugs relevant?

Severity Level	#
Critical	14
Severe	8
Important	14

The SQLite developers (inconsistently) assigned **severity levels**

- Are the bugs relevant?
- Statement coverage

- Are the bugs relevant?
- Statement coverage

Low coverage 20%-50%, **DBMS** provide a lot more than pure database management

- Are the bugs relevant?
- Statement coverage
- Implementation effort

- Are the bugs relevant?
- Statement coverage
- Implementation effort

4,000-6,000 LOC per DBMS → significantly smaller than the DBMS

- Are the bugs relevant?
- Statement coverage
- Implementation effort
- Limitations

- Are the bugs relevant?
- Statement coverage
- Implementation effort
- Limitations
- Aggregate and window functions
- Difficult-to-implement functionality

Pivoted Query Synthesis (PQS)

Pivoted Query Synthesis (PQS) PQS is **one of multiple**DBMS testing approaches
we have been working on

Metamorphic Testing

Aggregate Testing

Pivoted Query Synthesis (PQS) We have found about 15 bugs by a novel metamorphic testing approach that can compute a precise result set

Metamorphic Testing

Aggregate Testing

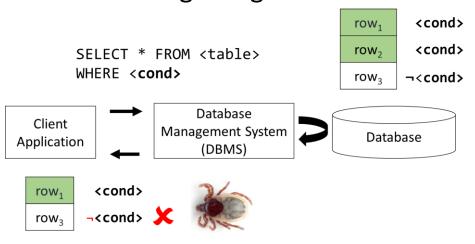
Pivoted Query Synthesis (PQS) PQS is **not applicable** for testing **aggregate** and **window functions**

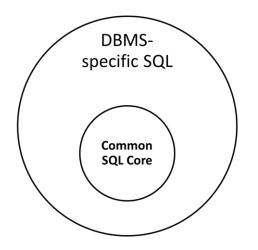
Metamorphic Testing

Aggregate Testing

Aim: Find Logic Bugs in DBMS

Challenge: Precise Oracle is Difficult to Construct





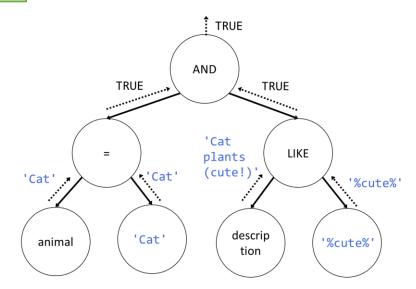
Problem: The common SQL core is **small**

Idea: Consider Only a Single Row

Idea: Construct an automatic testing approach considering only a single row

Column ₀	Column ₁	Column ₂	
			-
Value _{i,0}	Value _{i,1}	Value _{i,2}	Pivot Row
			_

Create Expressions that Yield TRUE for the Pivot Row



PQS is Highly Effective

DBMS	Fixed	Verified
SQLite	65	0
MySQL	15	10
PostgreSQL	5	4
Sum	85	14

99 real bugs: addressed by code or documentation fixes, or verified as bugs

