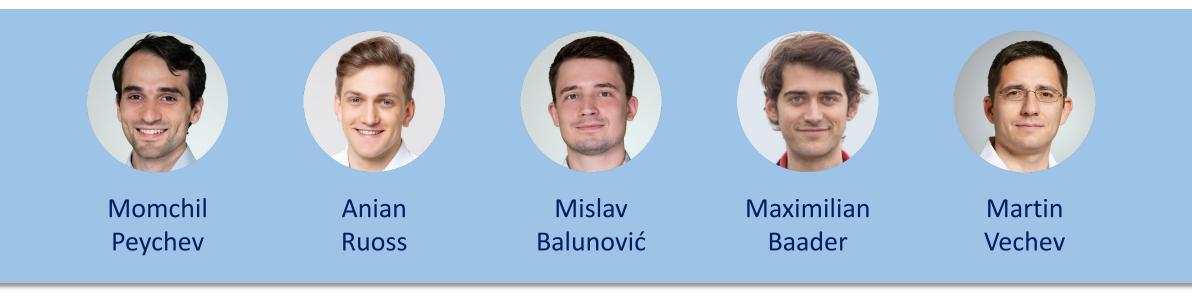


Latent Space Smoothing for Individually Fair Representations



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Individually Fair Representation Learning

Individual fairness: requires similar individuals to be treated similarly. Individual fairness in the context of:

Data Regulator: defines a fairness notion for the task.Data Producer: learns a fair representation that encodes the data.Data Consumers: employ the transformed data to make predictions.

Prior work: *enforces* and *certifies* individual fairness for low-dimensional tabular data.

This work: scaling to high-dimensional data and real-world models.

McNamara et al., Costs and Benefits of Fair Representation Learning, AIES 2019 Ruoss et al., Learning Certified Individually Fair Representations, NeurIPS 2020

Data Regulator

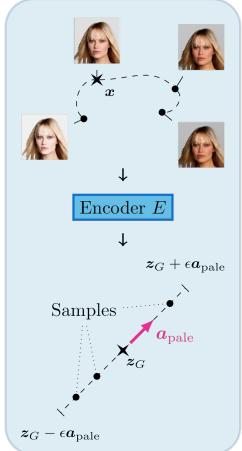
For a given person, all people differing only in skin tone should be classified the same.

Key challenge: high-level semantic attributes cannot be captured conveniently in the input space of $x \in \mathbb{R}^n$.

Leverage Glow G = (E, D) and compute attribute vector $\mathbf{a} \in \mathbb{R}^q$ in the latent space of G.

Similarity set for $x \in \mathbb{R}^n$ and $z_G = E(x)$: $S(x) \coloneqq \{z_G + t \cdot a \mid |t| \le \epsilon\} \subseteq \mathbb{R}^q$.

Goal: for an individual \boldsymbol{x} in the test set, **certify** $\forall \boldsymbol{z} \in S(\boldsymbol{x}): \hat{C} \circ \hat{R}(\boldsymbol{z}_G) = \hat{C} \circ \hat{R}(\boldsymbol{z}).$



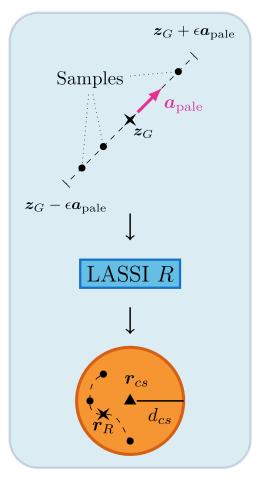
Data Producer

• Learn a representation $R: \mathbb{R}^q \to \mathbb{R}^k$ that maps similar individuals close together.

Adversarial loss: $\mathcal{L}_{adv}(\mathbf{x}) = \max_{\mathbf{z} \in S(\mathbf{x})} ||R(\mathbf{z}_G) - R(\mathbf{z})||_2.$

- Adversarial training \rightarrow no formal guarantees.
- Center smoothing on R, $\hat{R}(\mathbf{z}_G)$, produces a center \mathbf{r}_{cs} and radius d_{cs} such that $\forall \mathbf{z} \in S(\mathbf{x})$: $\|\mathbf{r}_{cs} \hat{R}(\mathbf{z})\|_2 \leq d_{cs}$ with high probability.

$S(\mathbf{x}) \coloneqq \{\mathbf{z}_G + t \cdot \mathbf{a} \mid |t| \le \epsilon\}$



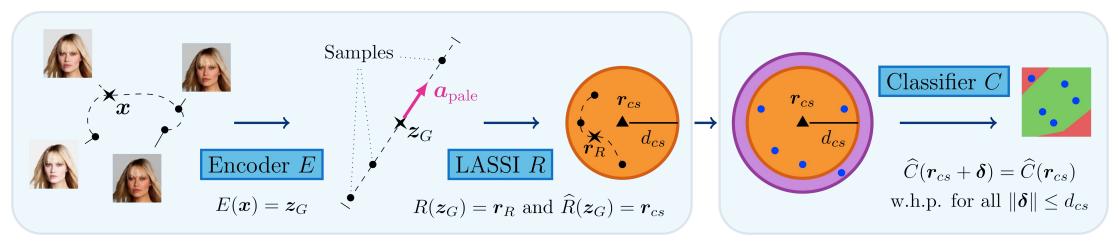
Kumar and Goldstein, Center Smoothing: Certified Robustness for Networks with Structured Outputs, NeurIPS 2021

Data Consumer

- Randomized smoothing on the downstream classifier $C: \mathbb{R}^k \to \mathcal{Y}$, $\hat{C}(\mathbf{r}_{cs})$, to obtain its ℓ_2 -robustness radius d_{rs} around \mathbf{r}_{cs} .
- End-to-end certificate: if $d_{cs} < d_{rs}$, then the end-to-end model provably satisfies individual fairness at x with high probability.

Data Producer

Data Consumer



Cohen et al., Certified Adversarial Robustness via Randomized Smoothing, ICML 2019

Evaluation



Pale Skin

Young



Blond Hair



Heavy Makeup



Pale Skin + Young



Pale Skin + Young + Blond Hair

Glow reconstructions corresponding to points from the similarity sets for multiple sensitive attribute combinations from the CelebA dataset.

Evaluation

		Naive		Data Augmentation		LASSI (ours)	
Task	Sensitive attribute(s)	Acc	Fair	Acc	Fair	Acc	Fair
Smiling	Pale Skin	86.3	0.6	85.7	12.2	85.9	98.0
	Young	86.3	38.2	85.9	43.0	86.3	98.8
	Blond Hair	86.3	3.4	86.6	9.4	86.4	94.7
	Heavy Makeup	86.3	0.4	85.3	13.7	85.6	91.3
	Pale Skin + Young	86.0	0.4	85.8	9.9	85.8	97.3
	Pale + Young + Blond	86.2	0.0	86.4	3.6	85.5	86.5

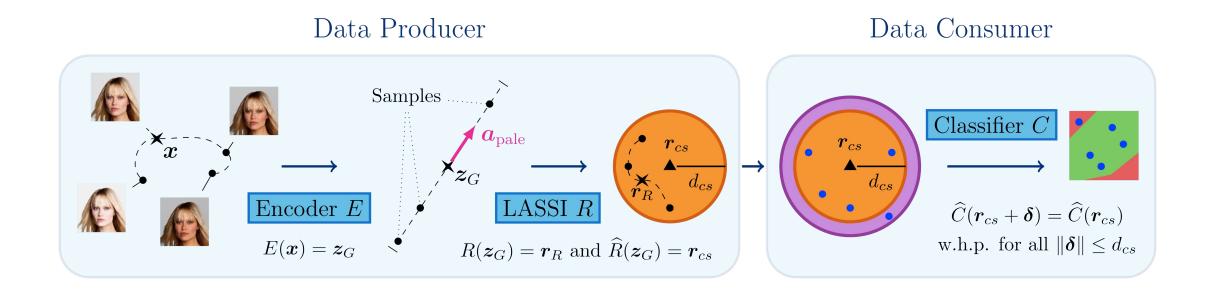
Our method (LASSI) significantly increases the percentage of points for which we can certify individual fairness, without affecting the classification accuracy.

Check our paper for further details

- Attribute vector computation
- Transfer learning experiments
- Experiments on the FairFace dataset
- More examples of Glow reconstructions of similar individuals

Conclusion





Code and pretrained models: <u>https://github.com/eth-sri/lassi</u>